Operators by Race

Special Reports Part 1

AC-02-SR-1



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Update Alert:

The following data have been changed on page 2.

Rhode Island has no Black or African American Operators. It no longer appears in the table. South Carolina had 1,929 Black or African American operators in 2002, 1,949 in 1997, and 1,412 in 1997 (not adjusted for coverage). South Dakota has been added to the table. It had 9 Black or African American operators in 2002, 8 in 1997, and 7 in 1997 (not adjusted for coverage).

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Introduction

PURPOSE AND SCOPE

This is the first in a series of special reports based on data from the 2002 Census of Agriculture. This report serves two major purposes. It pulls together the relevant U.S. and State level 1997 and 2002 principal operator counts formerly found in separate publications. The report also provides, for the first time, information on the race combinations most commonly reported in the 2002 collection by those operators who indicated they were of multiple races.

The report reproduces the coverage adjusted numbers of principal operators by race for 1997, first published in the February 3, 2004 preliminary release of the 2002 Census of Agriculture. It also includes the final 2002 numbers for principal operators by race, published in the June 3, 2004 final release of the 2002 Census of Agriculture. For comparison purposes, the numbers of principal operators by race that were originally published in the 1997 Census of Agriculture are also Spanish, Hispanic or Latino origin is included. considered an ethnicity and not a race. Data on the numbers of operators of Spanish, Hispanic or Latino origin are not shown in this report. Those data are shown in Volume 1, Table 49, 2002 Census of Agriculture.

BACKGROUND

Censuses of agriculture before 2002 collected information only for the principal operator of each farming operation. For the first time, the 2002 Census of Agriculture collected the total number of operators for each operation. Up to three of the operators could report their race and other demographic information.

The numbers of minority farm operators in the 2002 Census of Agriculture and the coverage adjusted 1997 numbers are much higher than the original 1997 Census published numbers. The increases are due to the fact that minority operated farms have historically been missing from the census mail list at higher percentages than other farms in general. This was

often because their farms are small, both in terms of acres and value of agricultural products sold, and do not appear on lists of farmers used for creating the census mail list (refer to Appendix C, Table G of the 1992 and 1997 Census of Agriculture, not attached). With the new coverage adjustment methodology used in the 2002 Census of Agriculture (refer to Appendix C of the 2002 Census of Agriculture, not attached), the numbers of minority farm operators shown now reflect the actual total numbers. Data on American Indian or Alaska Native operators in this report includes only those identified on individual reports. The data do not include the number of operators who were counted on a supplemental report form from American Indian reservations. Data for all American Indian or Alaska Native operators appears in Volume 1, Appendix B, 2002 Census of Agriculture.

RACE CATEGORY CHANGES

Changes were also made to the report form between the 1997 and 2002 censuses that might affect historical comparability. Relevant sections of the report form are reproduced in Figures 1 and 2. In the 2002 Census, operators were given the opportunity to mark more than one race category. Without detailed re-interview studies, it is uncertain what race those respondents would have chosen if limited to one category. Since respondents in 2002 were given the opportunity to list and provide demographic information on up to three operators per farm, it is not clear whether some respondents listed as the principal (and only operator) in 1997 might have been listed in operator columns two or three on the 2002 Census form.

The 2002 Census also dis-aggregated the former Asian or Pacific Islander race group. That single category became two categories: Asian, and Native Hawaiian or Other Pacific Islander. There are two other changes in the 2002 Census that might affect comparability. The Office of Management and Budget mandated asking ethnicity before race. In addition, the racial category "Other," which was listed in 1997, was eliminated from the racial category choices.

Figure 1: 1997 Census of Agriculture Report Form: Race and Ethnicity Questions

SECTION 31 CHARACTERISTICS AND OCCUPATION OF OPERATOR (Senior partner or person in charge) Refer to the INFORMATION SHEET, section 31.					
1. RESIDENCE – Does the operator (senior partner or person in charge) Here on this place?	→ ⁹²³ 1 🗌 Yes	2 🗖 No			
2. PRINCIPAL OCCUPATION – At which occupation did the operator spend the majority (50 percent or more) of his/her worktime in 1997? – For partnerships consider all members of the partnership together.	→ ⁹²⁸ 1 ☐ Farming or ranching	2 Other			
3. OFF-FARM WORK – How many days did the operator (senior partner or person in charge) work at least 4 hours per day off this place in 1997? <i>Include work at a nonfarm job, business, or on someone else's farm for pay. (DO NOT include exchange farmwork.)</i>		4 100–149 days 5 150–199 days 6 200 days or more			
 In what YEAR did the operator (or senior partner) begin to operate any part of this place? 	→ ⁹³⁰ Year				
5. AGE of operator (senior partner or person in charge) on Dec. 31, 1997 \cdot	→ ⁹²⁵ Years of age				
6. RACE of operator (senior partner or person in charge) —	 924 1 ☐ White 2 ☐ Black, African American, or Negro 3 ☐ American Indian 	4 Asian or Pacific Islander 9 Other – <i>Specify</i>			
7. SEX of operator (senior partner or person in charge)	→ ⁹²⁶ 1 🗌 Male	2 🗖 Female			
 Is the operator (senior partner or person in charge) of SPANISH, HISPANIC, or LATINO origin or background, such as Mexican, Cuban, or Puerto Rican, regardless of race? 	→ ⁹²⁷ 1 🗌 Yes	2 🗖 No			

Figure 2: 2002 Census of Agriculture Report Form: Race and Ethnicity Questions

 Is the operator of Spanish, Hispanic, or Latino origin or background, such as 		PRINCIPAL OPERATOR or senior partner	OPERATOR 2	OPERATOR 3
	Mexican, Cuban, or Puerto Rican, regardless of race? ————>	927 1 YES 3 NO	1587 1 YES 3 NO	1598 1 YES 3 NO
		MARK ONE OR MORE	MARK ONE OR MORE	MARK ONE OR MORE
11.	Race of operator	1701 White	1801 White	1901 White
		1702 Black or African American	1802 Black or African American	1902 Black or African American
		American Indian or Alaska Native - <i>specify tribe</i>	1803 American Indian or Alaska Native - <i>specify tribe</i>	1903 American Indian or Alaska Native - <i>specify tribe</i>
		1704 Native Hawaiian or	1804 Native Hawaiian or	1904 Native Hawaiian or
		Other Pacific Islander	Other Pacific Islander	Other Pacific Islander
		1705 Asian	1805 Asian	1905 Asian

The OMB has established guidelines (OMB Bulletin No. 00-02, March 2000, see Appendix A) for aggregation and allocation of race data for use in civil rights monitoring and enforcement. Federal agencies are to include the five single race categories of White, Black or African American, American Indian or Alaska Native, Native Hawaiian or other Pacific Islander, and Asian plus four specific combinations of two races. Those were based on the largest combinations reported in the 2000 Census of Population and are: White *and* American Indian or Alaska Native; White *and* Asian; White *and* Black or African American Indian or Alaska Native.

HIGHLIGHTS

Overall, very few operators in the 2002 Census of Agriculture reported more than one race (0.4% of all United States operators). The most commonly reported combination of races was White *and* American Indian or Alaska Native. In fact, this was the most reported combination in every state but Hawaii and Delaware. (Only 6 operators reported more than one race in Delaware.) The next most commonly reported combination was White *and* Black or African American. White *and* Asian was the third most commonly reported combination. Many of these operators were in Hawaii and California.

Hawaii differs from other states in both percentage of operators reporting more than one race and the most frequently reported race combinations. In Hawaii, 12.5% of all operators reported more than one race. The most common combinations were White *and* Native Hawaiian or Other Pacific Islander; Native Hawaiian or Other Pacific Islander *and* Asian; and White, Native Hawaiian or Other Pacific Islanders, *and* Asian.

Since the OMB guidelines include reporting combinations that represent more than one percent of the population in a jurisdiction, additional combinations of races are shown in this report because the Hawaii counts of operators exceed one percent.

This report includes two types of tables: *Principal Operators by Race: 2002 and 1997*; and *Operators Reporting More than One Race: 2002.*

Principal Operators by Race: 2002 and 1997 White

White					
U.S. and State	2002 Number	1997 Number	1997 not adjusted for coverage <i>Number</i>		
United States	2,067,379	2,154,284	1,864,201		
	Number 2,067,379 42,176 572 6,855 45,555 74,044 30,873 4,165 2,333 42,063 46,966 2,148 24,705 72,808 60,048 90,472 63,926 85,489 25,332 7,150 11,837 5,997 52,795 80,595 36,862 105,702 26,837 49,223 2,865 3,317 9,743 14,614 36,937 51,524 30,388 77,213 76,603 38,973 57,846 856 22,441 30,997 86,008 220,440 15,119	Number 2,154,284 47,298 512 7,893 48,419 80,418 29,513 4,879 2,626 44,257 47,652 2,143 25,184 78,854 66,486 96,545 65,094 90,394 28,681 7,381 12,981 7,259 53,134 78,536 38,103 110,303 26,745 54,332 3,021 3,922 9,890 15,623 38,042 56,079 32,131 78,347 79,116 39,293 60,032 990 23,764 32,643 90,061 216,453 15,681	Number		
Vermont Virginia Washington	6,521 45,706 34,960	7,032 47,764 38,789	5,801 39,854 28,290		
West Virginia Wisconsin Wyoming	20,705 76,846 9,229	21,441 79,221 9,327	17,702 65,418 9,120		

Principal Operators by Race: 2002 and 1997 Black or African American

Black or African American					
U.S. and State	2002 Number	1997 Number	1997 not adjusted for coverage <i>Number</i>		
United States	29,090	26,785	18,451		
Alabama Alaska	2,350 1	2,251 1	1,467 1		
Arizona	41	23	14		
Arkansas	982	780	679		
California	278	396	277		
Colorado	47	39	32		
Connecticut	5	7	3		
Delaware	22	9	8		
Florida	1,068	807 1,487	643		
Georgia Hawaii	1,988 12	1,407	1,175 8		
Idaho	8	22	16		
Illinois	59	123	100		
Indiana	55	61	50		
lowa	31	40	35		
Kansas	116	122	110		
Kentucky	687	593	523		
Louisiana	1,856	1,580	1,045		
Maryland	239	219	201		
Massachusetts	23	22	15		
Michigan	184	133	110		
Minnesota	16	36	29		
Mississippi Missouri	5,145 205	3,925 219	2,145 155		
Montana	5	8	6		
Nebraska	9	73	65		
Nevada	7	3	2		
New Hampshire	2	-	_		
New Jersey	66	46	41		
New Mexico	56	27	19		
New York	70	62	50		
North Carolina	1,686	2,212	1,515		
Ohio Oklabarra	168	165	135		
Oklahoma	840 28	889 32	722 21		
Oregon Pennsylvania			32		
South Carolina	62 1,929	46 1,949	1,412		
South Dakota	9	8	7		
Tennessee	1,054	1,201	893		
Texas	5,979	5,561	3,462		
Utah	4	4	3		
Vermont	2	7	6		
Virginia	1,583	1,456	1,127		
Washington	43	58	34		
West Virginia	37	31	26		
Wisconsin	27	31	21		
Wyoming	6	3	3		

Principal Operators by Race: 2002 and 1997 American Indian or Alaska Native

American Indian or Alaska Native						
U.S. and State	2002	1997	1997 not adjusted for coverage			
	Number	Number	Number			
United States	15,494	12,911	10,638			
Alabama	349	288	229			
Alaska	32	19	19			
Arizona	291	321	264			
Arkansas	424	213	186			
California	977	676	524			
Colorado	256	156	136			
Connecticut	5	13	8			
Delaware	9	10	10			
Florida	317	168	135			
Georgia	180	102	73			
Hawaii	30	18	18			
ldaho	160	139	122			
Illinois	61	65	56			
Indiana	93	105	77			
lowa	61	66	50			
Kansas	203	174	158			
Kentucky	168	143	123			
Louisiana	106	103	75			
Maine	17 56	13	10 20			
Maryland Maagaabugatta	19	26 8	20 5			
Massachusetts Michigan	146	120	98			
Minnesota	111	123	109			
Mississippi	78	74	46			
Missouri	450	354	297			
Montana	924	836	632			
Nebraska	83	70	64			
Nevada	85	123	112			
New Hampshire	17	2	2			
New Jersey	23	20	18			
New Mexico	403	448	412			
New York	85	49	39			
North Carolina	455	707	513			
North Dakota	205	208	192			
Ohio	192	145	116			
Oklahoma	4,546	3,982	3,630			
Oregon	410	247	184			
Pennsylvania	70	65	41			
South Carolina	83	54	45			
South Dakota	639 236	530	496			
Tennessee	1,501	185 952	127 657			
Texas Utah		43	41			
Vermont	91 18	43	41			
Virginia	10	61	o 47			
Washington	426	377	197			
West Virginia	420	40	31			
Wisconsin	102	153	82			
Wyoming	146	108	104			

Principal Operators by Race: 2002 and 1997 Native Hawaiian or Other Pacific Islander

U.S. and State	2002 Number	1997 ¹ Number	1997 not adjusted for coverage ¹ <i>Number</i>
United States	983	(NA)	(NA)
Alabama Arizona Arkansas California Colorado Connecticut Florida Georgia Hawaii Idaho Illinois Indiana Iowa Kansas Kentucky Louisiana Maine Massachusetts Michigan	500 5 2 4 218 6 1 15 13 424 7 6 5 2 2 2 11 4 1 1 1	(NA) (NA) (NA) (NA) (NA) (NA) (NA) (NA)	(NA) (NA) (NA) (NA) (NA) (NA) (NA) (NA)
Minnesota Mississippi Missouri Montana Nebraska Nevada New Jersey New Mexico New York North Carolina Ohio	2 3 15 7 3 4 2 3 2 1 8	(NA) (NA) (NA) (NA) (NA) (NA) (NA) (NA)	(NA) (NA) (NA) (NA) (NA) (NA) (NA) (NA)
Oklahoma Oregon Pennsylvania South Carolina South Dakota Tennessee Texas Utah Vermont Virginia Washington West Virginia Wyoming	12 51 6 4 7 67 1 7 67 1 7 67 1 7 67 1 7 4	(NA) (NA) (NA) (NA) (NA) (NA) (NA) (NA)	(NA) (NA) (NA) (NA) (NA) (NA) (NA) (NA)

¹ Native Hawaiian or Other Pacific Islander was not a separate category in the 1997 Census of Agriculture. These operators were included in the Asian category.

Principal Operators by Race: 2002 and 1997 Asian

U.S. and State	2002	1997 ¹	1997 not adjusted for coverage ¹
	Number	Number	Number
United States	8,375	9,620	8,731
Alabama	22	26	22
Alaska	2	_	-
Arizona	47	44	42
Arkansas	68	40	33
California	3,562	3,746	3,408
Colorado	65	87	82
Connecticut	2	3	2
Delaware	23	17	15
Florida	466	276	271
Georgia	79	53	44
Hawaii	2,090	3,212	3,212
Idaho	79	112	99
Illinois Indiana	15	34	28
	21	22 30	19 22
lowa Kansas	25 15	30 23	22
Kentucky	27	43	38
Louisiana	27	25	20
Maine	8	6	6
Maryland	35	19	18
Massachusetts	19	13	11
Michigan	30	35	27
Minnesota	44	45	43
Mississippi	36	32	22
Missouri	57	58	51
Montana	13	19	15
Nebraska	11	37	35
Nevada	4	2	2
New Hampshire	9	1	1
New Jersey	51	68	60
New Mexico	20	8	7
New York	55	64	50
North Carolina	94	78	52
North Dakota	2	2	1
Ohio	32	43	36
Oklahoma	52	41	35
Oregon	273	261	211
Pennsylvania	33	49	33
South Carolina	19	19	15
South Dakota Tennessee	7 61	4 59	4 41
Texas	290	244	179
Utah	35	63	55
Vermont	6	1	1
Virginia	46	53	43
Washington	303	395	226
West Virginia	5	9	7
Wisconsin	76	93	57
Wyoming	13	5	5
		· · · · ·	5

¹ The 1997 data include Native Hawaiians or Other Pacific Islanders.

Principal Operators by Race: 2002 and 1997 More than One Race Reported

More than One Race Reported						
U.S. and State	2002	1997 ¹	1997 not adjusted for coverage ¹			
	Number	Number	Number			
United States	7,661	(NA)	(NA)			
Alabama	224	(NA)	(NA)			
Alaska	2	(NA)	(NA)			
Arizona	58	(NA)	(NA)			
Arkansas	450	(NA)	(NA)			
California	552	(NA)	(NA)			
Colorado	122	(NA)	(NA)			
Connecticut	13	(NA)	(NA)			
Delaware Florida	4 152	(NA)	(NA) (NA)			
Georgia	85	(NA) (NA)	(NA) (NA)			
Hawaii	694	(NA)	(NA)			
Idaho	58	(NA)	(NA)			
Illinois	78	(NA)	(NA)			
Indiana	74	(NA)	(NA)			
lowa	64	(NA)	(NA)			
Kansas	152	(NA)	(NA)			
Kentucky	159	(NA)	(NA)			
Louisiana	87	(NA)	(NA)			
Maine	20	(NA)	(NA)			
Maryland	31	(NA)	(NA)			
Massachusetts	16	(NA)	(NA)			
Michigan	147	(NA)	(NA)			
Minnesota Mississippi	71 62	(NA) (NA)	(NA) (NA)			
Mississippi Missouri	368		(NA) (NA)			
Montana	84	(NA)	(NA)			
Nebraska	26	(NA)	(NA)			
Nevada	24	(NA)	(NA)			
New Hampshire	18	(NA)	(NA)			
New Jersey	39	(NA)	(NA)			
New Mexico	74	(NA)	(NA)			
New York	106	(NA)	(NA)			
North Carolina	170	(NA)	(NA)			
North Dakota	24	(NA)	(NA)			
Ohio	184	(NA)	(NA)			
Oklahoma Oregon	1,247 298	(NA) (NA)	(NA) (NA)			
Pennsylvania	88		(NA) (NA)			
Rhode Island	2	(NA)	(NA)			
South Carolina	63	(NA)	(NA)			
South Dakota	80	(NA)	(NA)			
Tennessee	229	(NA)	(NA)			
Texas	649	(NA)	(NA)			
Utah	32	(NA)	(NA)			
Vermont	17	(NA)	(NA)			
Virginia	153	(NA)	(NA)			
Washington	186	(NA)	(NA)			
West Virginia	21	(NA)	(NA)			
Wisconsin Wyoming	80 24	(NA)	(NA) (NA)			
	24	(NA)	(NA)			

¹ Operators could report only one race in 1997.

Principal Operators by Race: 2002 and 1997 Other Race

	Culo	1 auto	1
U.S. and State	2002 ¹	1997	1997 not adjusted for coverage
	Number	Number	Number
United States	(NA)	12,276	9,838
Alabama	(NA)	9	8
Alaska	(NA)	16	16
Arizona	(NA) (NA)	226	198
Arkansas	(NA)	41	36
California	(NA)	2,755	2,351
Colorado	(NA)	402	378
Connecticut	(NA)		2
Delaware	(NA)	9	8
Florida	(NA)	300	269
Georgia	(NA)	49	37
Hawaii	(NA)	92	92
Idaho	(NA)	133	115
Illinois	(NA)	36	31
Indiana	(NA)	33	26
lowa	(NA)	24	16
Kansas	(NA)	63	60
Kentucky	(NA)	25	22
Louisiana	(NA)	36	22
Maine	(NA)	2	20
Maryland	(NA) (NA)	9	8
Massachusetts	(NA) (NA)	5	5
Michigan	(NA) (NA)	97 97	84
Minnesota	(NA) (NA)	15	15
Mississippi	(NA) (NA)	13	11
Missouri	(NA) (NA)	52	44
Montana	(NA) (NA)	24	18
Nebraska	(NA) (NA)	24 27	25
Nevada	(NA) (NA)	49	41
New Hampshire	(NA)		3
New Jersey	(NA)	21	19
New Jersey	(NA)	1,770	1,694
New York	(NA)	47	37
North Carolina	(NA)	44	31
North Dakota	(NA)	1	1
Ohio	(NA)	37	32
Oklahoma	(NA)	57	
Oregon	(NA)	142	103
Pennsylvania	(NA)	30	20
Rhode Island	(NA)	1	1
South Carolina	(NA)	21	16
South Dakota	(NA)	6	6
Tennessee	(NA)	30	22
Texas	(NA)	4,963	3,564
Utah	(NA)	19	15
Vermont	(NA)	14	12
Virginia	(NA)	32	24
Washington	(NA)	494	264
West Virginia	(NA)	10	6
Wisconsin	(NA)	43	24
Wyoming	(NA)	-	-
1			1

¹ This category was eliminated in the 2002 Census of Agriculture.

Operators Reporting More than One Race: by U.S. and State, 2002 Т

U.S. and State	Total ¹ Number	American Indian or Alaska Native and White Number	Asian <i>and</i> White <i>Number</i>	Black or African American and White Number	American Indian or Alaska Native <i>and</i> Black or African American <i>Number</i>
United States	12,005	8,791	711	783	275
United States	12,005	0,791	7.1.1	705	215
Alabama	376	276	18	59	6
Alaska	8	7	-	-	-
Arizona Arkansas	92 580	76 536	- 3	1 16	5 10
California	899	639	105	22	10
Colorado	228	178	8	6	6
Connecticut	24	15	2	-	-
Delaware	6	1	4	-	1
Florida	223	152	45	7	2
Georgia	125	101	7	-	6
Hawaii	978	52	233	4	1
ldaho	74	61	6	-	-
Illinois	109	85	4	9	2
Indiana Iowa	126 86	106 73	4	12 3	- 1
Kansas	234	194	11	10	7
Kentucky	260	221	5	18	5
Louisiana	110	74	-	16	7
Maine	28	26	-	1	-
Maryland	57	35	5	10	-
Massachusetts	31	26	3	1	-
Michigan	265	221	5	23	8
Minnesota	97	77 78	5	8 29	1
Mississippi Missouri	118 607	78 470	- 31	29 61	8 10
Montana	140	133	2	3	-
Nebraska	42	37	3	-	-
Nevada	34	22	1	-	3
New Hampshire	26	20	-	-	-
New Jersey	55	39	6	2	6
New Mexico	114	84	6	13	-
New York	158	119	7	18	10
North Carolina North Dakota	280 39	175 29	6 4	37 2	18
Ohio	260	178	12	33	6
Oklahoma	1,924	1,795	15	25	49
Oregon	441	356	15	16	11
Pennsylvania	186	140	4	27	6
Rhode Island	3	2	-	-	-
South Carolina	101	54	-	32	12
South Dakota	131	105	8	7	1
Tennessee Texas	389 1,081	293 820	16 29	45 136	7 22
Utah	63	59	29	130	22
Vermont	26	23	-	3	-
Virginia	242	131	23	36	13
Washington	307	228	27	9	10
West Virginia	54	42	2	8	-
Wisconsin	119	95	7	12	-
Wyoming	49	32	7	2	-
¹ Data were collected for a	maximum of three	operators per farm			

Operators Reporting More than One Race: by U.S. and State, 2002 (continued)

by U.S. and State, 2002 (continued)					
U.S. and State	Native Hawaiian or Other Pacific Islander and White	Native Hawaiian or Other Pacific Islander and Asian	Native Hawaiian or Other Pacific Islander <i>and</i> Asian <i>and</i> White	Balance of individuals reporting more than one race	
	Number	Number	Number	Number	
United States	335	275	160	675	
Alabama Alaska Arizona Arkansas California Colorado	1 - - 1 36 4	- - - 19 2	- - - 5 -	16 1 10 14 58 24	
Connecticut Delaware	3	-	-	4	
Florida Georgia Hawaii Idaho	- 1 - 183 1	- 1 - 195 -	3 - 131	12 11 179 6	
Illinois	2	1	-	6	
Indiana	2	-	-	2	
lowa	-	-	-	3	
Kansas	2	1	-	9	
Kentucky Louisiana	8	- 3	-	6 2	
Maine	1	-	_	-	
Maryland	-	-	-	7	
Massachusetts	-	-	1	-	
Michigan	-	-	-	8	
Minnesota Minnissinni	1	-	-	5	
Mississippi Missouri	- 5	5	- 1	3 24	
Montana	1	-	-	1	
Nebraska	-	-	-	2	
Nevada	-	-	-	8	
New Hampshire	-	-	-	6	
New Jersey New Mexico	- 3	-	-	2 8	
New York	1	_	_	3	
North Carolina	2	2	-	40	
North Dakota	3	-	-	1	
Ohio	10	5	8	8	
Oklahoma Oregon	12 11	- 6	- 5	28 21	
Pennsylvania	5	2	-	21	
Rhode Island	-	1	-	-	
South Carolina	-	-	-	3	
South Dakota	3	3	-	4	
Tennessee Texas	4	3 8	- 1	21 59	
Utah	1	1	-	- 59	
Vermont	-	-	-	-	
Virginia	9	4	4	22	
Washington	2	13	1	17	
West Virginia Wisconsin	- 3	-	-	2 2	
Wyoming	3	-	-	2 5	
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Appendix A. OMB GUIDELINES

1. OMB BULLETIN NO. 00-02

March 9, 2000

TO THE HEADS OF EXECUTIVE DEPARTMENTS AND ESTABLISHMENTS

SUBJECT: Guidance on Aggregation and Allocation of Data on Race for Use in Civil Rights Monitoring and Enforcement

- **1. Purpose:** This Bulletin establishes guidance for agencies that collect or use aggregate data on race. It also establishes guidance for the allocation of multiple race responses for use in civil rights monitoring and enforcement.
- 2. Background: The Office of Management and Budget (OMB) announced revisions to the standards for classification of Federal data on race and ethnicity in a Federal Register Notice of October 30, 1997 (62 FR 58782-58790). Revisions to these standards followed a lengthy process that included considerable public involvement and active participation from more than 30 Federal agencies. The revised standards require, among other things, that agencies offer individuals the opportunity to select one or more races when reporting information on race in Federal data collections. The five minimum race categories are American Indian or Alaska Native, Asian, Black or African American, Native Hawaiian or Other Pacific Islander, and White.

Census 2000 will be the first nationwide implementation of the revised standards. Data from Census 2000 will capture more accurately the increasing diversity of the Nation's population. Results from Census 2000 will display the full range of single and multiple race reporting by the American people.

As the revised standards for collecting and presenting data are implemented, we must ensure that we maintain our ability to monitor compliance with laws that offer protections for those who historically have experienced discrimination. In addition, we must minimize reporting burden for institutions such as schools and businesses that report aggregate data on race to Federal agencies.

In response to requests from agencies responsible for monitoring and enforcing civil rights laws, OMB has led an interagency group to develop guidance. This guidance addresses the collection of aggregate data when agencies request information from businesses, schools, and other entities. The guidance also addresses the allocation by agencies of responses, whether individual or aggregate, for use in civil rights monitoring and enforcement.

- 3. Guidance for aggregation and allocation of multiple race responses for use in civil rights monitoring and enforcement: The attached guidance is designed to be straightforward and easy to implement. It provides consistency across agencies responsible for enforcing civil rights laws, and does not preclude the use of more detailed data if an agency chooses to do so. The guidance does not involve methods that require either fractional or double counting of individuals, or arbitrary allocation of responses to one minority group versus another.
- 4. Implementation process: OMB will continue to work closely with the enforcement agencies and the civil rights community to assess these methods as they are implemented over the next few years and to consider the need for future modifications. The guidance provided in this Bulletin will be reflected in the Provisional Guidance on the Implementation of the 1997 Standards for Federal Data on Race and Ethnicity that will be available from OMB later this year.
- 5. Inquiries: Inquiries concerning the information in this Bulletin should be directed to Katherine K. Wallman, Chief Statistician (202-395-3093).

Jacob J. Lew *Director* Attachment

Guidance on Aggregation and Allocation of Multiple Race Responses for Use in Civil Rights Monitoring and Enforcement

I. Aggregation Guidance: Census 2000 will provide 63 categories of data on the population by race; these data will be available by April 1, 2001, at the national, state, local, and census tract levels. Data collected by Federal enforcement agencies often are provided by businesses and institutions in aggregate form. To facilitate agency efforts to work with data on race, an aggregation method is presented below. This method keeps intact the five single race categories, and includes the four double race combinations most frequently reported in recent studies. The method also provides for the collection of information on any multiple race combinations that comprise more than one percent of the population of interest. Based on data from Census 2000, responsible agencies will determine which additional combinations meet the one percent threshold for the relevant jurisdictions. A balance category is provided to report those individual responses that are not included in (1) one of the five single race categories or four double race combinations or (2) other combinations that represent more than one percent of the population in a jurisdiction. The following *example* illustrates this guidance.

1	American Indian or Alaska Native
2	Asian
3	Black or African American
4	Native Hawaiian or Other Pacific Islander
5	White
6	American Indian or Alaska Native and White
7	Asian and White
8	Black or African American and White
9	American Indian or Alaska Native and Black or African American
10	> 1 percent: Fill in if applicable ⁽¹⁾
11	> 1 percent: Fill in if applicable
12	Balance of individuals reporting more than one race
13	Total

II. Allocation Guidance: Federal agencies will use the following rules to allocate multiple race responses for use in civil rights monitoring and enforcement.

Responses in the five single race categories are not allocated.

Responses that combine one minority race and white are allocated to the minority race.

Responses that include two or more minority races are allocated as follows:

If the enforcement action is in response to a complaint, allocate to the race that the complainant alleges the discrimination was based on.

If the enforcement action requires assessing disparate impact or discriminatory patterns, analyze the patterns based on alternative allocations to each of the minority groups.

Allocation for enforcement purposes should not be confused with various allocation methods under consideration for "bridging" to past data collections as described in OMB's Provisional Guidance on the Implementation of the 1997 Standards for Federal Data on Race and Ethnicity. These bridging methods would take advantage of information being gleaned from Census 2000 and other experimental work being carried out by the statistical agencies. The principal purpose of allocation for bridging is to conduct trend or time series analysis.

¹Based on Census 2000 data, agencies will determine the race combinations that meet the one percent threshold. For example, in Hawaii there may well be combinations of race groups that meet this threshold such as Native Hawaiian or Other Pacific Islander *and* Asian, or Native Hawaiian or Other Pacific Islander *and* White, or Native Hawaiian or Other Pacific Islander *and* Asian *and* White.